

Attorney's D

259895

PATENT

2131  
#5  
SP  
8-21-03

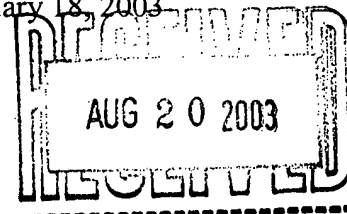
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: David Shay Confirmation No.:  
Appl. No.: 10/065,775 Group Art Unit: 2131  
Filed: November 18, 2002 Examiner: Not Assigned  
For: SYSTEM AND METHOD FOR INTRUSION PREVENTION IN A  
COMMUNICATIONS NETWORK

Commissioner for Patents  
Washington, DC 20231

February 18, 2003

INFORMATION DISCLOSURE STATEMENT  
CITATION UNDER 37 C.F.R. § 1.97



Sir:

Attached is a list of documents on form PTO-1449 together with a copy of each identified document. It is requested that the Examiner consider these documents and officially make them of record in accordance with the provisions of 37 C.F.R. § 1.97 and Section 609 of the MPEP. By submitting the listed documents, Applicant in no way makes any admission as to the prior art status of the listed documents, but is instead submitting the listed documents for the sake of full disclosure.

Respectfully submitted,

Jon M. Jurgovan  
Registration No. 34,633

Customer No. 00826  
ALSTON & BIRD LLP  
Bank of America Plaza  
101 South Tryon Street, Suite 4000  
Charlotte, NC 28280-4000  
Tel Atlanta Office (404) 881-7000  
Fax Atlanta Office (404) 881-7777

RECEIVED  
FEB 26 2003  
Technology Center 2100

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Washington, DC 20231, on February 18, 2003.

Shelley Victoria



Substitute for form 1449A/PTO  
(Revised 10/2001)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of   

## Complete if Known

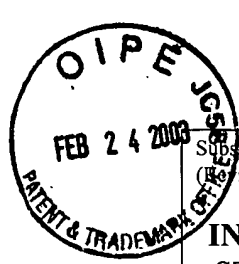
Application Number	10/065,775
Filing Date	November 18, 2002
First Named Inventor	David Shay
Group Art Unit	2131
Examiner Name	Not Assigned
Attorney Docket Number	047322/259895

## U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
	1	US-5,796,942 B1	08-18-1998	Esbensen.	
	2	US-5,802,178 B1	09-01-1998	Holden, et al.	
	3	US-5,872,847 B1	02-16-1999	Boyle, et al.	
	4	US-6,219,786 B1	04-17-2001	Cunningham, et al.	
	5	US-6,275,942 B1	08-14-2001	Bernhard, et al.	
	6	US-6,279,113 B1	08-21-2001	Vaidya	
	7	US-6,317,831 B1	11-13-2001	King	
	8	US-6,363,489 B1	03-26-2002	Comay, et al.	
	9	US-6,370,648 B1	04-09-2002	Diep	
	10	US-6,408,391 B1	06-18-2002	Huff, et al.	
	11	US-2001/0023482 A1	09-20-2001	Wray	
	12	US-2001/0054159 A1	12-20-2001	Hoshino	
	13	US-2002/0029337 A1	03-07-2002	Sudia, et al.	
	14	US-2002/0078202 A1	06-20-2002	Ando, et al.	
	15	US-2002/0078354 A1	06-20-2002	Sandhu, et al.	
	16	US-2002/0083343 A1	06-27-2002	Crosbie, et al.	
	17	US-2002/0087882 A1	07-04-2002	Schneider, et al.	
	18	US-2002/0107953 A1	08-08-2002	Ontiveros, et al.	
	19	US-2002/0112185 A1	08-15-2002	Hodges	
	20	US-2002/0129264 A1	09-12-2002	Rowland, et al.	
	21	US-2002/0133586 A1	09-19-2002	Shanklin, et al.	
	22	US-2002/0133698 A1	09-19-2002	Wank	
	23	US-2002/0133721 A1	09-19-2002	Adjaoute	
	24	US-2002/0136407 A1	09-26-2002	Denning, et al.	
Examiner Signature				Date Considered	

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED  
FEB 26 2003  
Technology Center 2100



Substitute for form 1449B/PTO  
(Revised 10/2001)

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet  of

### Complete if Known

Application Number	10/065,775
Filing Date	November 18, 2002
First Named Inventor	David Shay
Group Art Unit	2131
Examiner Name	Not Assigned
Attorney Docket Number	047322/259895

### OTHER DOCUMENTS

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
		PHIFER, "Cost-Effective Remote End Point Protection: Against Trojans, Spyware, and Other Pests," PestPatrol White Paper, 9/01/2002, pgs. 1-11	
		SUNGARD, "Welcome to the Info Security Performance Model," SunGard Assessment Tool, 9/01/2002, pgs. 1-2	
		WESTBRIDGE, "XML Web Services Security - Going Production," Westbridge White Paper, 9/01/2002, pgs. 1-7	
		TOP LAYER, "Stopping Attacks: The Importance of Denial of Service (DoS) Security Appliances," Top Layer White Paper, pgs. 1-13	
		INTERNET SECURITY, "Complete Desktop Protection for The Enterprise," ISS Technical White Paper, 9/01/2002, pgs. Cover, 1-6	
		INTERNET SECURITY, "Web Application Protection: Using Existing Protection Solutions," ISS Technical White Paper, 7/01/2002, pgs. Cover, 1-6	
		TOP LAYER, "Preventing Cyber Attacks and Costly Network Degradation," Top Layer Webcast, 5/30/2002, pgs. 1-13 (slides); pgs 1-15- (transcript)	
		OKENA, "A Primer on Preventing Attacks at the Host - OKENA, Inc. - Hurwitz Report," Hurwitz Analyst White Paper, 2/01/2002, pgs. 1-11	
		BLUE LANCE, "Protecting Computer-Managed Assets: Building a Secure Infrastructure for Business," Larstan Business Reports, 1/01/2002, pgs. 1-10	
		OKENA, "Technology Best Practices for Intrusion Prevention," Okena Analyst White Paper, 1/01/2002, pgs. 1-3	
		Computel, "Distributed Denial of Service Attacks," Network Security White Papers, 12/06/2000, pgs. 1-6	
		LEITHAUSER, "Fire Guardian," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-2	
		LOCKSTEP, "WebAgain—Automatic Web Site Repair," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-4	
		KOBELT, "NetSentron, KnowledgeStorm Solution Detail Report, 2002, pgs. 1-3	
		INTERNET SECURITY, "RealSecure® SiteProtector for Enterprise Protection," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	
		INTERNET SECURITY, "BlackICE PC Protection," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	
		INTERNET SECURITY, "System Scanner®," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	
		INTERNET SECURITY, "Internet Scanner™," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-6	
		DYNAMICS, "WebInspect™ (Web Application Security Assessment)," KnowledgeStorm Solution Detail Report, 2002, pgs. 1-5	

Examiner  
Signature

Date  
Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.